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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Art Unit: 3691

Examiner: Havan, Thu Thao

In Re: Srihari Kumar et al.
Case: P3970
Serial No.: 09/854,233
Filed: May 10, 2001
Subject: Portfolio Synchronizing between Different Interfaces

Commissioner for Patents
P.O. Box 1450
Alexandria VA 22313-1450

Dear Sir:

Response A

JAN 17 2007

PTO/SB/97 (12-97)

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In re: **Srihari Kumar et al.**
Case: **P3970** Application No.: **09/854,233** Filing date: **05/10/2001**
Art Unit: **3691** Examiner: **Thu Thao Havan**
Subject: **Portfolio Synchronizing between Different Interfaces**

Certificate of Transmision under 37 CFR 1.8Attention: **Thu Thao Havan**, Examiner

Fax No.: (571) 273-8300

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JAN 17 2007

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CASE DOCKET NO. P3970

In reference to application of Srihari Kumar et al.

Serial No. 09/854,233

For Portfolio Synchronizing between Different Interfaces

Sir:

Transmitted herewith is and an amendment in the above-identified application, under 37 C.F.R. 1.312.

- ☒ No additional fee is required.
☒ Applicant claims Small entity status under 37 CFR 1.27.
☐ The fee has been calculated as shown below.

**** CLAIMS AS AMENDED ****

(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)
	Claims Remaining After Amendment		Highest No. Paid For Previously	Present Extra	Rate Small Entity	Rate Large Entity	Additional Fee
Total Claims	20	Minus	** 27	0	\$ 25	\$ 50	\$ 0.00
Indep Claims	3	Minus	*** 3	0	\$ 100	\$ 200	\$ 0.00
<input type="checkbox"/> First presentation of a multiple dependent claim					\$ 0	\$ 0	\$ 0.00
<input type="checkbox"/> Terminal Disclaimer Fees							\$ 0.00
Extension Fee	<input type="checkbox"/> 1st Month		<input type="checkbox"/> 2nd Month		<input type="checkbox"/> 3rd Month		\$ 0.00
Total additional for claims, time extensions and disclaimer fees							\$ 0.00

** If the "highest Number Previously Paid For" in this space is less than 20, write "20" in this space.

*** If the "highest Number Previously Paid For" in this space is less than 3, write "3" in this space.

**** Multiple dependencies, if any, included in the above calculation.

* If the entry in column 2 is less than the entry in column 4, write "0" in column 5.

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Reg. No. 35074Central Coast Patent Agency, Inc.
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Method of Transmission: Facsimile

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